SEC Form 3 FORM 3

## UNITED STATES SECURITIES AND EXCHANGE

COMMISSION

Washington, D.C. 20549

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and A<br>Price Ben                                                                                        | ddress of Repo | Requiring S<br>(Month/Day | 2. Date of Event<br>Requiring Statement<br>(Month/Day/Year)<br>11/03/2021 3. Issuer Name <b>and</b> Ticker or Trading Symbol<br><u>NeuroBo Pharmaceuticals, Inc.</u> [ NRBO ] |                    |                                                                                                     |                                          |                                        |                       |                                                                                                           |                                        |                            |
|-------------------------------------------------------------------------------------------------------------------|----------------|---------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|-----------------------------------------------------------------------------------------------------|------------------------------------------|----------------------------------------|-----------------------|-----------------------------------------------------------------------------------------------------------|----------------------------------------|----------------------------|
| (Last) (First) (Middle)<br>C/O NEUROBO<br>PHARMACEUTICALS, INC.                                                   |                |                           |                                                                                                                                                                               |                    | 4. Relationship of Reporting Person(s) to<br>Issuer<br>(Check all applicable)<br>Director 10% Owner |                                          |                                        |                       | 5. If Amendment, Date of Original<br>Filed (Month/Day/Year)                                               |                                        |                            |
| 200 BERKELEY STREET, OFFICE<br>19TH FLOOR                                                                         |                |                           |                                                                                                                                                                               |                    | х                                                                                                   | Officer (city)                           | Other<br>below)                        | (specify              | 6. Individual or Joint/Group Filing<br>(Check Applicable Line)<br>X Form filed by One Reporting<br>Person |                                        |                            |
| (Street)<br>BOSTON                                                                                                | MA             | 02116                     |                                                                                                                                                                               |                    |                                                                                                     |                                          |                                        |                       |                                                                                                           | Form filed I<br>Reporting F            | by More than One<br>Person |
| (City)                                                                                                            | (State)        | (Zip)                     |                                                                                                                                                                               |                    |                                                                                                     |                                          |                                        |                       |                                                                                                           |                                        |                            |
| Table I - Non-Derivative Securities Beneficially Owned                                                            |                |                           |                                                                                                                                                                               |                    |                                                                                                     |                                          |                                        |                       |                                                                                                           |                                        |                            |
| 1. Title of Security (Instr. 4)                                                                                   |                |                           |                                                                                                                                                                               |                    |                                                                                                     | unt of Securities<br>ially Owned (Instr. |                                        |                       | 4. Nature of Indirect Beneficial<br>Ownership (Instr. 5)                                                  |                                        |                            |
| Table II - Derivative Securities Beneficially Owned(e.g., puts, calls, warrants, options, convertible securities) |                |                           |                                                                                                                                                                               |                    |                                                                                                     |                                          |                                        |                       |                                                                                                           |                                        |                            |
|                                                                                                                   |                |                           | 2. Date Exercisable and<br>Expiration Date<br>Month/Day/Year)                                                                                                                 |                    | 3. Title and Amount of Secu<br>Underlying Derivative Secu<br>(Instr. 4)                             |                                          |                                        |                       |                                                                                                           | 5.<br>Ownership<br>Form:<br>Direct (D) | Ownership (Instr.          |
|                                                                                                                   |                |                           | Date<br>Exercisable                                                                                                                                                           | Expiration<br>Date | Title                                                                                               |                                          | Amount<br>or<br>Number<br>of<br>Shares | Derivativ<br>Security | ve                                                                                                        | or Indirect<br>(I) (Instr. 5)          | <i>5)</i>                  |

**Explanation of Responses:** 

No securities are beneficially owned.

\*\* Signature of Reporting Person

11/04/2021 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\ast$  If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.